

Attorney Docket No. YOR920030580US1

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

1450, Alexandria, VA 22313-1450.

Patent Application

Applicant(s): P.M. Dantzig et al. YOR920030580US1

Docket No.: Serial No.:

10/789,087

Filing Date:

February 27, 2004

Group:

2155

Examiner:

To Be Assigned

Title:

Method and Apparatus for Hierarchical

Selective Personalization

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. Copies of the listed documents are enclosed, other than the published U.S. patent document, with the search report that listed these documents.

U.S. Patent Documents

1. U.S. Patent Application Publication No. US 2002/0198961 A1 published on 12/26/02 to Krishnamurthy et al.

Other Documents

1. T.F. Abdelzaher et al., "Web Server QoS Management by Adaptive Content Delivery," XP-002157975, pp. 216-225, May 1999.

Attorney Docket No. YOR920030580US1

2. D-G. Lee et al., "Addressing Server Latency and Capacity to Enable Fast and Affordable

Wireless Image Data Services," XP-002300631, 8 pages, 2003.

3. W. Shi et al., "Workload Characterization of a Personalized Web Site — And Its

Implications for Dynamic Content Caching," Technical Report: TR2002-829, XP-002300632, pp.

1-17, 2002.

The undersigned certifies in accordance with 37 C.F.R. §1.97(e)(1) that each item listed

above was cited in a communication from a foreign patent office in a counterpart foreign application

not more than three months prior to the filing of this Supplemental Information Disclosure

Statement. It is believed that there is no fee due in conjunction with the filing of this Supplemental

Information Disclosure Statement. In the event of non-payment or improper payment of a required

fee, the Commissioner is authorized to charge or to credit International Business Machines

Corporation Deposit Account No. 50-0510 as required to correct the error.

The filing of this Supplemental Information Disclosure Statement shall not be construed as

a representation that a search has been made, or as an admission that the information cited is

considered to be material to patentability, or as a representation that no other material information

exists.

Respectfully submitted,

Date: October 27, 2004

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FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT



Applicant(s): P.M. Dantzig et al. Docket No.: YOR920030580US1

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	•	U.S. PATENT DOCUMENT	I'S	
EXAMINER				FILING DATE
INITIAL	DOCUMENT NO. DATE	NAME	CLASS/SUBCLASS	IF APPROPRIATE
	2002/0198961 A1 12/26/02	Krishnamurthy et al.		
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	FO	REIGN PATENT DOCUM	ENTS	
EXAMINER			GV A SOURVIDGE A SO	TRANSLATION
INITIAL	DOCUMENT NO. DATE	COUNTRY	CLASS/SUBCLASS	YES NO
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EXAMINER				
INITIAL	REF NO. AUTHOR, TITLE, DATE	, PERTINENT PAGES, ETC.	· · ·	
	T.F. Abdelzaher et al., "Web Sp. 216-225, May 1999.	erver QoS Management by A	daptive Content Delivery," 3	⟨P-002157975,
2. D	D-G. Lee et al., "Addressing Seata Services," XP-002300631,	erver Latency and Capacity to 8 pages, 2003.	Enable Fast and Affordable	Wireless Image
3. D	W. Shi et al., "Workload Chynamic Content Caching," Tech	naracterization of a Personalization and Report: TR2002-829, X	zed Web Site — And Its I P-002300632, pp. 1-17, 20	mplications for 02.
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Examiner			Date Considere	ed

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.